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# Comparison of spirometry and impulse oscillometry in methacholine challenge test for the detection of airway hyperresponsiveness in adults

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## ABSTRACT

### Comparison of spirometry and impulse oscillometry in methacholine challenge test for the detection of airway hyperresponsiveness in adults

**Introduction:** Airway hyper-responsiveness (AHR) is a characteristic feature of asthma. The aim of this study was to compare the impulse oscillometry (IOS) and spirometry to methacholine for AHR detection among individuals with clinically hyper-reactive airway disease suggestive of bronchial asthma and baseline spirometry were normal.

**Materials and Methods:** Adults with symptoms suggestive of AHR and normal baseline spirometry test were selected. The short protocol of methacholine challenge test (MCT) was performed for all subjects using IOS and spirometry simultaneously. The primary endpoint was to compare the methacholine dosage causing a 20% drop in forced expiratory volume in one second (FEV<sub>1</sub>), with methacholine dosage that causing 40% increasing the baseline respiratory resistance at 5 hertz (R<sub>5</sub>), as measured by IOS.

**Results:** A total of 235 participants were analyzed, 184 (78.2%) had positive test results with R<sub>5</sub>, while 81 (34.4%) had positive MCT results with FEV<sub>1</sub>. The sensitivity and specificity of MCT with R<sub>5</sub> were 87.3%, 64.6%, and MCT with

FEV<sub>1</sub> were 39.1%, 85.4%, respectively. The area under the receiver operating characteristic (ROC) curve was greater at lower doses of MCT at R5, (AUROC: 0.653;  $p=0.01$ ).

**Conclusion:** The results showed higher sensitivity, negative predictive value, and earlier response of the short protocol of MCT with IOS, compared to MCT with spirometry. Our study suggested the utility of IOS in addition to conventional spirometry as a method of choice in MCT for detection of AHR.

**Key words:** Airway hyper-responsiveness; impulse oscillometry system; respiratory function test; methacholine challenge test; spirometry

## ÖZ

### Yetişkinlerde hava yolu aşırı duyarlılığının tespiti için metakolin provokasyon testinde spirometri ve impuls osilometrinin karşılaştırılması

**Giriş:** Hava yolu hiperreaktivitesi (HHR) astımın karakteristik bir özelliğidir. Bu çalışmada amaç, bronşiyal astımı düşündüren klinik olarak HHR olan ve başlangıç spirometresi normal olan bireylerde, HHR tespiti için impuls osilometri (IOS) ve metakolin provokasyon testlerinin karşılaştırılmasıdır.

**Materyal ve Metod:** Çalışmaya HHR'yi düşündüren semptomları olan ve normal başlangıç spirometri testi olan yetişkinler seçildi. Metakolin provokasyon testinin (MPT) kısa protokolü, eş zamanlı olarak IOS ve spirometri kullanılarak tüm hastalara uygulandı. Birincil son nokta, bir saniyede zorlu ekspiratuar hacimde %20'lik bir düşüşe (FEV<sub>1</sub>) neden olan metakolin dozunu, IOS ile ölçülen bazal solunum rezistansını gibi 5 hertz'de (R5) %40 artıran metakolin dozajıyla karşılaştırmaktır.

**Bulgular:** Çalışmada toplam 235 hasta değerlendirildi. Hastaların 184'ü (%78,2) R5 ile pozitif test sonuçlarına sahipken, 81'i (%34,4) FEV<sub>1</sub> ile pozitif MPT sonuçlarına sahipti. MPT'nin R5 ile duyarlılığı ve özgülüğü sırasıyla %87,3, %64,6 ve FEV<sub>1</sub> ile MPT %39,1, %85,4 idi. ROC analizinde eği altında kalan alan, R5'te daha düşük MPT dozlarında daha büyüktü (AUROC: 0,653;  $p=0,01$ ).

**Sonuç:** Sonuçlar, spirometri ile MPT'ye kıyasla, IOS ile MPT'nin kısa protokolünün daha yüksek duyarlılığı, daha yüksek negatif prediktif değeri ve daha erken yanıt olduğunu gösterdi. Çalışmamızın, HHR'nin saptanması için MPT'de tercih edilen bir yöntem olarak geleneksel spirometriye ek olarak IOS'un kullanımını önermektedir.

**Anahtar kelimeler:** Hava yolu aşırı duyarlılığı; impuls osilometri sistemi; solunum fonksiyon testi; metakolin yükleme testi; spirometri

## INTRODUCTION

Airway hyper-responsiveness (AHR) is a characteristic feature of asthma. AHR assessment is part of the investigation in patients with chronic cough, recurrent wheezing, and shortness of breath (1,2). Commonly, AHR is examined by inhalation of methacholine and assessment of the patient's response through spirometry (3). A positive methacholine challenge test (MCT) is typically defined as a  $\geq 20\%$  decrement in forced expiratory volume in one second (FEV<sub>1</sub>) at relatively lower doses of methacholine (e.g., 4-8 mg/mL PC20) (4).

The current standard MCT requires repeated spirometry following the application of increasing doses of methacholine. However, this test requires patient cooperation and can be time-consuming, leading to the prolonged exposure of respiratory laboratory technicians to methacholine (5,6). An alternative outcome measure for hyper-responsiveness are respiratory resistance and reactance, which can be measured more conveniently by impulse oscillometry (IOS), compared to body plethysmography.

MCT with IOS has been suggested as a new alternative method for the evaluation of AHR (7,8). Previous studies have revealed significant and acceptable correlation between 40% (9,10) to 50% (11,12) increment in baseline values of respiratory resistance at 5Hz in IOS and 20% decline in baseline FEV<sub>1</sub> in spirometry to detect of AHR.

Therefore, the lowest value of 40% increment of resistance in IOS used by several previous investigators was adopted as the critical value in this study, which will probably increase the sensitivity of the test with lower specificity than fifty percent.

To the best of our knowledge, there are no adequate studies comparing MCT with IOS and spirometry for the detection of clinical AHR, especially in adults. In fact, previous studies have mainly compared these two methods in patients with diagnosed asthma. Considering the relative advantages and disadvantages of these two methods, in this study, we aimed to compare their efficacies from different aspects of the detection of AHR.

## MATERIALS and METHODS

### Study Design

In this prospective study, adults (age >15 years) with symptoms (such as cough, shortness of breath, or wheezing) suggestive to bronchial asthma and normal baseline spirometry were selected by a pulmonologist at the Tuberculosis and Lung Diseases Research Center of Tabriz University of Medical Sciences.

The exclusion criteria were as follows: history of upper respiratory tract infection in the past four weeks; history of allergic rhinitis; history of tobacco smoking at the time of referral or smoking (be smoke-free for less than 1 year); history of heart failure; clinical gastroesophageal reflux diseases, chronic sinusitis, known pulmonary diseases, previous thoracic surgery, pregnancy, breastfeeding, recent myocardial infarction and patient with positive drug history with respiratory side effects (e.g., beta-blockers and angiotensin-converting enzyme inhibitors). In addition, patients with poor cooperation during testing process were excluded.

MCT with spirometry and IOS were conducted using the Master Screen device (Jaeger, Würzburg, Germany) in all patients at the pulmonary function laboratory of Madani Hospital affiliated with Tabriz University of Medical Sciences.

Using the standard aerosol provocation system with a jet-type nebulizer (APS, Viasys Healthcare GmbH, Höchberg, Germany), incremental doses of methacholine were automatically nebulized to the airways. The subjects were exposed to increasing doses of inhaled methacholine at concentrations of methacholine up to 8 mg/mL or less in four stages. One minutes after each exposure, respiratory resistance was measured by IOS (airway reactance unable detected during MCT), and then, FEV<sub>1</sub> was measured by spirometry the process was continued after and beyond the 40% increase in 5 Hz respiratory resistance was reached in IOS and so both arms were conducted similarly. A 20% reduction in FEV<sub>1</sub> and a ≥40% increase in R<sub>5</sub> were considered as positive results.

All of the participants were treated with ICS, fluticasone (125 µg/puff; GSK Pharmaceutical Co., London, UK) by use of spacer. Patients who improved symptomatically in cough, dyspnea and wheezing by ICS for two months were considered as responders, while

the rest of the patients were considered as non-responders. The two methods of detection were compared based on the lowest methacholine concentration needed to meet the target criteria of airway hyper-reactivity in each method.

This study was approved by the Institutional Review Board (IRB) of Tuberculosis and Lung Diseases Research Center of Tabriz University of Medical Sciences (Approval No: 9220, Date: 17 December 2016). Patients were informed about the research and signed a written consent.

### Statistical Analysis

Quantitative data are presented as mean ± standard deviation (SD) or as percentage for normally distributed data. Median values were measured for non-normally distributed data. Also, qualitative data were presented as frequency and percentage. Comparisons between the groups were performed using Student's t-test and Mann-Whitney U test, and correlations were evaluated using Spearman's or Pearson's statistical tests. Mean values with 95% confidence interval (CI) were measured to indicate the diagnostic value (sensitivity, specificity, and positive and negative predictive values) of each method. The receiver operating characteristics (ROC) curve was also plotted, and areas under the ROC curve (AUROC) were compared. In this study, we used SPSS version 21 (Chicago, IL, USA) software for the data analysis, and  $p < 0.05$  was considered statistically significant.

## RESULTS

A total of 253 patients were enrolled to the study. Eighteen participants did not complete the study; five participants were excluded due to severe coughing and three patients had exaggerated experience of shortness of breath, and the other ten participants were excluded due to loss of follow-up.

Finally, 235 participants, consisting of 113 (48.6%) males and 122 (51.4%) females, were analyzed. All of the patients presented with coughing, dyspnea and chest tightness. The characteristics of the participants are presented in Table 1. The frequency of negative and positive response at incremental doses of methacholine in both MCT methods and absolute changes in FEV<sub>1</sub> and respiratory resistance at 5 hertz at different doses of methacholine are shown in Tables 2 and 3.

The sensitivity, specificity, negative predictive value (NPV) and positive predictive value (PPV) of two

**Table 1.** Patients' characteristics

Characteristic	Responders	Non-responders	p
Age (year)	36.1 ± 11.9	34.0 ± 13.9	0.38
Height (cm)	167 ± 14.9	165.4 ± 9.7	0.57
Weight (Kg)	72.4 ± 14.9	70.4 ± 15.7	0.51
BMI (kg/m <sup>2</sup> )	26.1 ± 7	25.7 ± 5.4	0.75
Smoking	-	-	-
Family history	-	-	-
Medical history	-	-	-
FEV <sub>1</sub> (L)	3.39 ± 0.7	3.26 ± 0.7	0.57
Resistance at 5 Hz (KPa/ (L/s))	3.27 ± 0.58	3.25 ± 0.23	0.68

FEV<sub>1</sub>: Forced expiratory volume in one second.

**Table 2.** Frequency of Negative and Positive Response at incremental doses of methacholine detected by impulse oscillometric versus spirometric method Impulse oscillometry

		Impulse oscillometry method		
		Not detected	Detected	Total
Spirometric method	Not detected	46	110	154
	Detected	5	76	81
	Total	51	184	235

**Table 3.** Absolute changes in FEV<sub>1</sub> and airway resistance at 5 hertz at different doses of methacholine

	Minimum	Maximum	Mean	Std. Deviation
FEV <sub>1</sub> at 1 (L)	1.68	5.47	3.38	0.73
FEV <sub>1</sub> at 2 (L)	1.61	5.25	3.34	0.79
FEV <sub>1</sub> at 3 (L)	1.29	9.14	3.34	0.90
FEV <sub>1</sub> at 4 (L)	1.65	7.28	3.33	0.86
R <sub>5</sub> at 1 (KPa/ (L/s))	1.93	9.65	4.05	1.49
R <sub>5</sub> at 2 (KPa/ (L/s))	1.95	11.52	4.33	1.62
R <sub>5</sub> at 3 (KPa/ (L/s))	2.03	11.22	4.67	1.75
R <sub>5</sub> at 4 (KPa/ (L/s))	2.31	15.44	5.42	2.32

**Table 4.** The sensitivity, specificity, Negative predictive value (NPV) and positive predictive value (PPV) of the two methods in detection of AHR

Method of test	Sensitivity	Specificity	NPV	PPV	p
IOS	87.3%	64.6%	87.3%	64.6%	≤0.001
Spirometry	39.1%	85.4%	30.1%	89.7%	0.001

methods for AHR detection are shown in Table 4. The time intervals to appropriate positive responses to MCT with IOS and spirometric method, using different doses of methacholine and absolute changes in R<sub>5</sub> at IOS and FEV<sub>1</sub> are presents in Table 5 and 6.

The greatest AUROC of MCT occurred in first stage of IOS (AUROC= 0.653; 95% CI: 0.552 -0.753; p= 0.01). ROC measurements of the two methods are demonstrated in Table 7.

**Table 5.** The results of absolute changes in R<sub>5</sub> at IOS and FEV<sub>1</sub> at different doses of MCT

FEV <sub>1</sub> (L)	1 <sup>st</sup> Dose	2 <sup>nd</sup> Dose	3 <sup>rd</sup> Dose	4 <sup>th</sup> Dose
Mean	3.371	3.329	3.326	3.313
Std deviation	0.735	0.779	0.905	0.857
Min-Max	1.67-5.47	1.61-5.25	1.29-9.14	1.65-7.28
R <sub>5</sub> at IOS (KPa/(L/s))				
Mean	3.261	4.070	4.372	4.735
Std deviation	0.588	1.508	1.637	1.763
Min-Max	2.38-7.27	1.93-9.65	1.95-11.52	2.03-11.22

**Table 6.** The frequency of participants detected by spirometric versus oscillometric method at different methacholine doses

Spirometric Method	Impulse Oscillometric Method					Total
	Negative	1 <sup>st</sup> Dose	2 <sup>nd</sup> Dose	3 <sup>rd</sup> Dose	4 <sup>th</sup> Dose	
Negative	46	28	23	24	33	154
1 <sup>st</sup> Dose	1	18	3	5	1	28
2 <sup>nd</sup> Dose	1	15	2	3	0	21
3 <sup>rd</sup> Dose	1	4	5	2	3	15
4 <sup>th</sup> Dose	2	9	3	3	0	17
Total	51	74	36	37	37	235

**Table 7.** The Receiver operating characteristic (ROC) curve with IOS method at different doses of methacholine

R <sub>5</sub> at IOS	Area Under Curve	Standard Error	p	95% Confidence Interval	
				Lower Bound	Upper Bound
1 <sup>st</sup> Dose	0.653	0.051	0.017	0.552	0.753
2 <sup>nd</sup> Dose	0.623	0.053	0.055	0.519	0.727
3 <sup>rd</sup> Dose	0.628	0.056	0.046	0.517	0.738
4 <sup>th</sup> Dose	0.634	0.058	0.037	0.519	0.748

\* R<sub>5</sub> at IOS represents resistance at 5 Hz on different doses of methacholine.

In the current study, positive MCT, according to ROC curve with AUROC= 0.446, the point of relatively higher specificity, 66.7% specificity and 21.7% sensitivity of MCT, the 20% or more drop of FEV<sub>1</sub> from baseline FEV<sub>1</sub> was associated with 43.8% increase in R<sub>5</sub>.

According to the follow-up visit, 82.2% of the patients were responders to ICS and the rest of the subjects who had symptoms compatible with reactive airway disease with no response to steroid therapy. Mean FEV<sub>1</sub> in the original test was compared between the two groups of responders and non-responders to ICS. Mean FEV<sub>1</sub> in the non-responder and responder groups was 3.26 ± 0.7 Liter and 3.39 ± 0.7 Liter, respectively; the difference between the

groups was not significantly different which revealed original pulmonary function not significant difference between these two groups. After MCT, FEV<sub>1</sub> decreased in both groups of responders and non-responders to ICS therapy; however, there was no significant difference between the two groups (p= 0.58).

## DISCUSSION

In this study, the base FEV<sub>1</sub> was not significantly different between the two groups, which shows precise indication for provocative test. Moreover, we demonstrated that the sensitivity and NPV of IOS method was higher than the spirometric method and the former method could detect AHR at lower doses of methacholine.



The sensitivity and specificity of standard MCT using the spirometric method have been reported nearly 70-80% and 90%, respectively (13). Therefore, a significant number of patients with AHR may remain undetected using this method (13). Moreover, respiratory resistance can be measured more conveniently by IOS, compared to spirometry (14-16). IOS has been extensively studied in the diagnosis of obstructive airway diseases (17-19). However, the application of this method in bronchial provocation tests has been evaluated in a limited number of studies and only for established cases of bronchial asthma (12,20). Since the increase in airway resistance is secondary to increased bronchial smooth muscle tone and precedes the reduction of  $FEV_1$  after methacholine inhalation, measurement of respiratory resistance, based on pressure flow characteristics, may be more sensitive than the measurement of spirometric values (21). Accordingly, in the current study, NPV of MCT with IOS was significantly higher than that of standard spirometric MCT (22).

The reported sensitivity of the standard spirometric method for the detection of AHR ranges from 51% to 100% (23). However, it should be noted that in the current study, the sensitivity of standard MCT in the detection of AHR was even lower than previous reports (39.1%). This finding may be related to the fact that previous studies have mostly evaluated AHR in patients diagnosed with asthma. Despite this, demographic characteristics of study populations may play a role (23,24).

In this study, 81 out of 235 patients showed positive MCT results by the spirometric method while 184 patients met the criteria for positive MCT by the IOS method. In 55.9% of the patients with negative MCT results based on spirometry, AHR was detected using IOS. This finding is consistent with previous studies indicating higher sensitivity and rather high specificity of IOS in detection of AHR (25). In the current study, the sensitivity and specificity of IOS in detecting AHR were 87.3% and 64.6%, respectively, compared to the standard technique. Won Yoon et al. (20) have reported the sensitivity and specificity of IOS in detecting AHR to be 57% and 65%, respectively. Moreover, in a study by Sumino et al. (23), the total sensitivity and specificity of MCT with spirometry were 51-100% and 44-100%, respectively. Another study by Nizar Najiet al. has shown that IOS is more sensitive than the plethysmographic method for the

detection of airway resistance changes following allergen and methacholine exposure (22). These studies have revealed that MCT with IOS is more sensitive but less specific than the spirometric method for the detection of AHR.

Another major difference between the two methods in the present study was the detection power of AHR at lower doses of methacholine, compared to the spirometric method. Of the 184 patients detected by the oscillometric method, 74 patients were detected in the first stage of MCT, while only 28 out of 81 patients with positive MCT based on the spirometric method showed positive results in the first stage. This relationship was also confirmed by the comparison of AUROC in different stages of MCT between the two methods, which showed the highest ARUC in the first stage of IOS. This finding shows the greater potential for the detection of AHR in the early stage of MCT in patients suspected of AHR.

The present findings suggest that MCT with IOS has high sensitivity compared to spirometric method. The higher sensitivity of MCT with IOS, compared to standard MCT, may be explained by the well-known Poiseuille's law, which describes that the flow of any fluid in a tube is determined by the tube resistance, which is determined especially by tube diameter. Therefore, any increase in resistance is reflected by a decrease in flow and direct measurement of resistance may be more sensitive than flow measurement for the detection of tube narrowing (21,26). The identification of AHR with lower doses of methacholine reduces the exposure of the patient and operator to methacholine. Although the increase in sensitivity of any test may reduce its specificity, in the present study, the specificity of IOS was more than the standard method (22,27,28). Therefore, clinical implications and possible applications of these findings need further elucidation in future studies. This study had some limitations such as sample size. Moreover, we did not follow-up the patients to measure the parameters in the long-time.

## CONCLUSION

This study demonstrated that MCT with IOS had higher sensitivity and rather high specificity compared to standard MCT with spirometry. These findings suggest that IOS may be a more efficient tool than the spirometric method for the detection of AHR.

**Ethical Committee Approval:** This study was approved by the Institutional Review Board (IRB) of Tuberculosis and Lung Diseases Research Center of Tabriz University of Medical Sciences (Approval No: 9220, Date: 17 December 2016).

## CONFLICT of INTEREST

The authors of this meta-analysis declare that they have no conflict of interest.

## AUTHORSHIP CONTRIBUTIONS

Concept/Design: AS, MN

Analysis/Interpretation: KA, MNV

Data Acquisition: MN, TS

Writing: MN, MNV, AS

Clinical Revision: KA, TS

Final Approval: All of authors

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